## U.S. Foreign Account Tax Compliance Act (FATCA)

## Due Diligence on Individuals - U.S. Indicia

Account No. \_\_\_\_\_ Client Name: \_\_\_\_\_

A/E: \_\_\_\_\_

Indicia of potential U.S. status		Required documentation to establish as a non-U.S. account
1)	U.S. Citizen (U.S. Passport 美國護照) U.S. Resident (U.S. Green Card 美國綠咭) Signed W-9 BEN is attached herewith	Signed W-8 BEN is attached herewith together with a copy of HKID Card or a valid identification issued by a government body evidencing non-U.S. citizenship
2)	U.S. place of birth 美國出生地	I certify that I am neither - a U.S. citizen nor - a U.S. resident for tax purposes and holds a non-U.S. passport or other government issued identification evidencing that I am a citizen / resident of
		Client's Signature Date
3)	U.S. resident address 美國住址 U.S. mailing address 美國郵寄地址	I certify that my resident address and/or mailing address is/are neither U.S. address nor U.S. post-office box address.
		Client's Signature Date
4)	U.S. telephone number 美國電話號碼	I certify that my current telephone number(s) is/are non-U.S. telephone number(s).
		Client's Signature Date

5)	Standing instruction to transfer funds to an account maintained in the U.S. 常設授權轉移資金至美國賬戶	I certify that I have no standing instruction given to any FFI to transfer funds to an account maintained in the U.S
		Client's Signature Date
6)	Power of Attorney to a person with a U.S. address 授權於持有美國地址之第三者	I certify that I have not sign any Power of Attorney to a person with a U.S. address.
		Client's Signature Date
7)	"in care of" address "代收"地址 "hold-all-mail" address "代存"郵寄	I certify that I neither have "hold-all-mail" instruction given to FFI nor "in care of" address as my sole address.
		Client's Signature Date

Declaration by Registered Person

I, \_\_\_\_\_\_, confirming that I have explained to the client of the above U.S. Indicia questionnaire in a language of the client understandable.

Date

SFC CE Number

Registered Person's Signature