



**Investment Objectives (please tick as appropriate, you may tick more than one item)**

<input type="checkbox"/> Income	<input type="checkbox"/> Capital Gain	<input type="checkbox"/> Short Term Growth
<input type="checkbox"/> Long Options	<input type="checkbox"/> Write Covered Options	<input type="checkbox"/> Write Uncovered Options
<input type="checkbox"/> Hedging	<input type="checkbox"/> Options Speculation	<input type="checkbox"/> Options Strategic

**Investment Experience**

	Stocks	Warrants	Options	Futures
No. of Year(s)				
No. of Transaction per Year				
Typical Transaction Size (in HK\$)				

**Derivative Products Experience**

Have you traded 5 or more transactions in any derivative or structured products, whether traded on exchange or not, within the past 3 years?

Yes  
 No

**Signing Instruction:**

The signing instruction for Joint Account is: (Please specify e.g. signing singly, any two jointly, or wholly etc.)

**CLIENT'S DECLARATION**

I/We confirm the information provided above is true, complete and correct. I/We will notify you of any material changes to the above information.

Client's Name(s)	
Client's Signature(s)	
Date	

Please attach certified copies of the following documents:-

- HKID or Passport copy
- Residential address proof issued within 3 months

**For Joint Account, please make extra copy of this form and complete all the above information for each individual person.**