Client's Information

(Individual/Joint Account)

Personal Information

Name of Client (in English):	(in Chinese):			
Date of Birth:	Sex:			
HKID/Passport No.:	Nationality:			
Residential Address:				
Correspondence Address (if different):				
E-mail:				
Correspondence (choose one only, all communications, including client statements, will be sent to):				
□ E-mail address [please complete "Client's Consent (Electronic Communication)" of this form]				
☐ Residential Address ☐ Correspondence Address ☐	Others:			
Net Worth:	Annual Income:			
Source of Fund:				
Any relationship with any staff of Astrum Capital Management Limited or any of its Group Companies:				
If yes, with who?	Relationship:			
Are you trading or otherwise dealing in the Securities you instruct us to buy or sell as principal and beneficial owner thereof? ☐ Yes ☐ No If No, please specify in what capacity you are trading or dealing in the Securities:				
Employment Status: □ Full-time □ Part-time □ Self-employed □ Retired □ Unemployed □ Others:				
Employed by:				
Are you an employee of a bank or financial services company which is engaged in any activities regulated by the Securities and Futures Commission or the Hong Kong Monetary Authority? ☐ Yes ☐ No If yes, do you agree that we will notify your employer and obtain their consent of your opening and maintaining of this account? ☐ Yes ☐ No				
Business Address:				
Nature of Business:	Position Held:			
Bankers:	Bank Account No.:			
Tel No.:(Res) (Off)	Fax No.:			
Electronic Stock Trading Services – Internet Services				
Internet Services				
You request us to provide internet services for your account opened with us. You agree that you shall be bound by the Terms in Connection with Internet Services set out in Appendix 2. ☐ Yes ☐ No				

Investment Objectives (please tick as	appropriate, you n	nay tick more than o	one item)	
□ Income	☐ Capital Gain		☐ Short Term Growth	
☐ Long Options	☐ Write Covered Options		☐ Write Uncovered Options	
□ Hedging	☐ Options Speculation		☐ Options Strategic	
Investment Experience		10/		le.
N OV	Stocks	Warrants	Options	Futures
No. of Year(s)				
No. of Transaction per Year				
Typical Transaction Size (in HK\$)				
Derivative Products Experience				
Have you traded 5 or more transactions past 3 years? ☐ Yes ☐ No	in any derivative or s	tructured products, w	netner traded on excha	ange or not, within the
Signing Instruction:				
The signing instruction for Joint Account	is: (Please specify e.ç	3. signing singly, any t	wo jointly, or wholly etc.	
CLIENT'S DECLARATION I/We confirm the information provided aborinformation.	ve is true, complete a	and correct. I/We will r	notify you of any materia	al changes to the above
Client's Name(s)				
Client's Signature(s)				
Date				
Please attach certified copies of the followi HKID or Passport copy	ng documents:-			

For Joint Account, please make extra copy of this form and complete all the above information for each individual person.

Residential address proof issued within 3 months