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**Astrum Financial Holdings Limited**

**阿仕特朗金融控股有限公司**

*(incorporated in the Cayman Islands with limited liability)*

**(Stock code: 8333)**

## **POSITIVE PROFIT ALERT**

This announcement is made by Astrum Financial Holdings Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) pursuant to Rule 17.10 of the Rules Governing the Listing of Securities on GEM of The Stock Exchange of Hong Kong Limited (the “**GEM Listing Rules**”) and the Inside Information Provisions (as defined under the GEM Listing Rules) under Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong).

The board (the “**Board**”) of directors of the Company (the “**Directors**”) wishes to inform the shareholders of the Company (the “**Shareholders**”) and potential investors that, based on the preliminary assessment of the Group’s unaudited consolidated management accounts for the nine months ended 30 September 2019 (the “**Current Period**”) and the information currently available to the Board, the Group is expected to record an unaudited profit and total comprehensive income of approximately HK\$12.7 million for the Current Period as compared to an unaudited profit and total comprehensive income of approximately HK\$3.9 million for the nine months ended 30 September 2018 (the “**Corresponding Period**”), representing an increase of approximately 225.6%. Such expected significant increase for the Current Period is principally due to the increase in revenue derived from placing and underwriting services from approximately HK\$8.0 million for the Corresponding Period to approximately HK\$30.6 million for the Current Period.

As the Company is still in the course of finalizing its results for the Current Period, the information contained in this announcement is only based on the preliminary assessment made by the Company’s management according to the information currently available and the unaudited consolidated management accounts of the Group for the Current Period, which have not been reviewed or audited by the Company’s auditors or the Company’s audit committee and may be subject to adjustment. Shareholders and potential investors are advised to read the Group’s results announcement for the Current Period, which is expected to be published in November 2019 in accordance with the GEM Listing Rules.

**Shareholders and potential investors are advised to exercise caution when dealing in the shares of the Company.**

By Order of the Board  
**Astrum Financial Holdings Limited**  
**Pan Chik**  
*Chairman and Chief Executive Officer*

Hong Kong, 22 October 2019

*As at the date of this announcement, the directors of the Company are:*

***Executive Directors***

*Mr. Pan Chik (Chairman and chief executive officer)*

*Mr. Kwan Chun Yee Hidulf*

***Independent Non-executive Directors***

*Mr. Chan Chun Hong*

*Mr. Lee Tak Cheung Vincent*

*Mr. Lau Hon Kee*

*This announcement, for which the Directors collectively and individually accept full responsibility, includes particulars given in compliance with the GEM Listing Rules for the purpose of giving information with regard to the Company. The Directors, having made all reasonable enquiries, confirm that to the best of their knowledge and belief, the information contained in this announcement is accurate and complete in all material respects and not misleading or deceptive, and there are no other matters the omission of which would make any statement herein or this announcement misleading.*

*This announcement will remain on the “Latest Listed Company Information” page of the website of The Stock Exchange of Hong Kong Limited ([www.hkexnews.hk](http://www.hkexnews.hk)) for at least 7 days from the date of its publication and on the website of the Company ([www.astrum-capital.com](http://www.astrum-capital.com)).*