

ASTRUM CAPITAL MANAGEMENT LIMITED
SECURITIES TRADING – SCHEDULE OF FEES AND CHARGES

Services	Charges	Remark
1. <u>HK Stock Trade</u> Commission Stamp duty Transaction levy(Collected by SFC) Transaction levy(Collected by FRC) Trading fee CCASS fee	HKD 0.25% of transaction amount \$1.30 per \$1,000.00 of stock value (rounded up to the nearest dollar) 0.0027% of transaction amount 0.00015% of transaction amount 0.005% of transaction amount 0.01% of transaction amount (HK Clearing Min: \$3.00 Max: \$300.00)	HKD Min \$80.00
2. <u>Withdrawal of shares</u> Physical SI ISI	Handling fee : \$60.00 per stock plus \$5.00 per board lot (Odd lot is charged as a full board lot) Handling fee : \$60.00 per stock or 0.01% of stock value (based on previous day closing) whichever is higher Handling fee : \$60.00 per stock	Min: \$60.00 Max:\$300.00
3. <u>Deposit of physical shares</u>	Transfer deed stamp duty : \$5.00 for each transfer deed (HK Government)	
4. <u>Collection of Dividends</u>	0.5% of dividend received plus \$2.50 per board lot for initial registration (Odd lot is charged as full board lot)	Min: \$30.00
5. <u>Bonus shares collection</u>	Handling fee : \$30.00 plus \$2.50 per board lot (Odd lot is charged as full board lot)	
6. <u>Dividend / Bonus shares claim</u>	Handling fee : \$500.00 per stock claim	
7. <u>Exercise warrants / rights</u>	Handling fee : \$30.00 plus scrip fee \$2.50 per board lot	
8. <u>Share Registration</u> Physical scrip	Handling fee : \$5.00 plus scrip fee \$2.50 per board lot	
9. <u>Corporate actions</u> Cash offer Privatisation & takeover	Scrip fee \$2.50 per board lot Handling fee : \$50.00 per stock plus corporate action fee \$1.00 per board lot Stamp duty : \$1.00 per \$1,000.00 of stock value	
10. <u>IPO application</u>	Handling fee : \$100.00	
11. <u>Bounced cheque</u>	Handling fee : \$200.00 per cheque plus debit interest	
12. <u>Copies of monthly statement</u>	Handling fee : \$30.00 per month	
13. <u>Annual service fee</u>	No trading, holding and balance below HKD 1,000 in account	\$100.00 per year
14. <u>Shanghai & Shenzhen – Hong Kong Stock Connect</u>	RMB Commission : 0.25% of transaction amount Handling Fee : 0.00487% of the consideration of a transaction per side Securities management fee : 0.002% of the consideration of a transaction per side Transfer fee : 0.004% of the consideration of each transaction Stamp duty : 0.1% of the consideration of a transaction on the seller	Charged by : SSE, SZSE CSRC China Clear & HKSCC SAT

Above charges are for reference only, there are subject to change without prior notice.

Date : 3 Jan 2022