Astrum Capital Management Limited
阿仕特朗資本管理有限公司

ASTRUM CAPITAL MANAGEMENT LIMITED SECURITIES TRADING – SCHEDULE OF FEES AND CHARGES

Services	Charges	Remark
1. HK Stock Trade	**HKD**	
Commission	0.25% of transaction amount	Min: $80.00
Stamp duty	$1.00 per $1,000.00 of stock value (rounded up to the nearest dollar)	HK Government
Transaction levy	0.0027% of transaction amount	HK SFC
Transaction levy	0.00015% of transaction amount	HK FRC
Trading fee	0.00565% of transaction amount	HK Stock Exchange
CCASS fee	0.01% of transaction amount	HKSCC, Astrum
2. Settlement instruction		
Physical Withdrawal / USI Withdrawal	0.03% on gross amount based on the closing market value of each stock on the previous trading day (Min: HK$20.00 per each stock withdrawal), + HK$100.00 Handling fee for each	
SI	Handling fee: $5.00 per board lot or 0.1% of stock value (based on previous day closing) whichever is higher	Min: $100.00
ISI	Handling fee: $60.00 per stock	
3. Deposit of physical shares	HK$5 per Transfer Stamp Duty Deed (Min charge: HK$20.00)	
Transfer and Registration Fee (Physical Stock Deposit)	0.02% of the value of the physical stock to be deposited (the value of the stock is calculated based on the closing price of the trading day) (Min: HK$20.00)	
Dematerialisation handling fee:	HKD 5 per share certificate, minimum HKD 20	
4. Collection of Dividends	Handling fee: 0.5% of dividend received	Min: $30.00
5. Bonus shares collection	Handling fee: $30.00	
6. Dividend / Bonus shares claim	Minimum charge of HKD 2,000 per order (payable irrespective of whether recovery is successful or not) If recovery is successful, 0.5% of dividend amount will be charged as service fee.	
7. Exercise warrants / rights	Handling fee: $30.00	
8. Share Registration		
Physical scrip	Handling fee: $5.00	
9. Corporate actions		
Cash offer	Handling fee: $50.00 per stock plus corporate action fee $1.00 per board lot	
Privatisation & takeover	Stamp duty: $1.00 per $1,000.00 of stock value	
10. IPO application	Handling fee: $100.00	
11. Bounced cheque	Handling fee: $200.00 per cheque plus debit interest	
12. Copies of monthlystatement	Handling fee: $30.00 per month	
13. Annual service fee	No trading, holding and balance below HKD 50,000 in account	$200.00 per year
14. Shanghai & Shenzhen – Hong Kong Stock Connect	**RMB**	Charged by:
	Commission: 0.25% of transaction amount	
	Handling Fee: 0.00487% of the consideration of a transaction per side	SSE / SZSE
	Securities management fee: 0.002% of the consideration of a transaction per side	CSRC
	Transfer fee: 0.001% of the consideration of each transaction	China Clear
	0.002% of the consideration of each transaction	HKSCC
	Stamp duty: 0.1% of the consideration of a transaction on the seller	SAT
15. Overseas Stock	Please contact your account executive or our customer service at (852) 3665-8111 for details.	

Above charges are for reference only, there are subject to change without prior notice. Date: 16 Nov 2026