

## ASTRUM CAPITAL MANAGEMENT LIMITED SECURITIES TRADING – SCHEDULE OF FEES AND CHARGES

Services	Charges	Remark
1. <u>HK Stock Trade Commission</u>  Stamp duty Transaction levy Transaction levy Trading fee CCASS fee	<b>HKD</b> 0.25% of transaction amount  \$1.00 per \$1,000.00 of stock value (rounded up to the nearest dollar) 0.0027% of transaction amount 0.00015% of transaction amount 0.00565% of transaction amount 0.01% of transaction amount (HK Clearing Min: \$3.00 Max: \$300.00)	Min: \$80.00 <u>Collected by</u> HK Government HK SFC HK FRC HK Stock Exchange HKSCC
2. <u>Settlement instruction</u> Physical  SI  ISI	Handling fee: \$60.00 per stock plus \$5.00 per board lot (Odd lot is charged as a full board lot) Handling fee: \$5.00 per board lot or 0.1% of stock value (based on previous day closing) whichever is higher Handling fee: \$60.00 per stock	Min: \$100.00
3. <u>Deposit of physical shares</u>	Transfer deed stamp duty: \$5.00 for each transfer deed	Collected by HK Government
4. <u>Collection of Dividends</u>	Handling fee: 0.5% of dividend received plus \$2.50 per board lot for initial registration (Odd lot is charged as full board lot)	Min: \$30.00
5. <u>Bonus shares collection</u>	Handling fee: \$30.00 plus \$2.50 per board lot (Odd lot is charged as full board lot)	
6. <u>Dividend / Bonus shares claim</u>	Minimum charge of HKD 2,000 per order (payable irrespective of whether recovery is successful or not) If recovery is successful, 0.5% of dividend amount will be charged as service fee.	
7. <u>Exercise warrants / rights</u>	Handling fee: \$30.00 plus scrip fee \$2.50 per board lot	
8. <u>Share Registration</u> Physical scrip	Handling fee: \$5.00 plus scrip fee \$2.50 per board lot	
9. <u>Corporate actions</u> Cash offer  Privatisation & takeover	Scrip fee: \$2.50 per board lot Handling fee: \$50.00 per stock plus corporate action fee \$1.00 per board lot Stamp duty: \$1.00 per \$1,000.00 of stock value	
10. <u>IPO application</u>	Handling fee: \$100.00	
11. <u>Bounced cheque</u>	Handling fee: \$200.00 per cheque plus debit interest	
12. <u>Copies of monthly statement</u>	Handling fee: \$30.00 per month	
13. <u>Annual service fee</u>	No trading, holding and balance below HKD 50,000 in account	\$200.00 per year
14. <u>Shanghai &amp; Shenzhen – Hong Kong Stock Connect</u>	<b>RMB</b> Commission: 0.25% of transaction amount Handling Fee: 0.00487% of the consideration of a transaction per side Securities management fee: 0.002% of the consideration of a transaction per side  Transfer fee: 0.001% of the consideration of each transaction 0.002% of the consideration of each transaction Stamp duty: 0.1% of the consideration of a transaction on the seller	<u>Charged by:</u>  SSE / SZSE CSRC  China Clear HKSCC SAT
15. <u>Overseas Stock</u>	Please contact your account executive or our customer service at (852) 3665-8111 for details.	

Above charges are for reference only, there are subject to change without prior notice.

Date: 19 May 2025